



CommonGood Securities, LLC

Customer Relationship Summary (Form CRS) August 29th, 2022

Introduction

CommonGood Securities (CGS) is a broker-dealer that is registered with the Securities and Exchange Commission (SEC) and is a member of the [Financial Industry Regulatory Authority](#) (FINRA). This relationship summary is designed to provide you with information about these services and how we charge for them. Broker-dealer and investment advisory services and fees differ, and it is important for retail investors to understand the difference. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](#) as well as provides educational materials about broker- dealers, investment advisers, and investing.

What investment and advice can you provide me?

We are not a full-service broker-dealer as our core business is sourcing, doing due diligence, and helping raise capital for a select group of private impact investments through investment advisors and multi-family offices. For this reason, we only offer you a *limited investment offering* of retail broker- dealer services. We will help facilitate the purchase of investments for retail investors after reviewing the investment objectives and risks, suitability requirements, and receiving a completed investor profile from you.

We will not provide you with personalized advice based on your overall portfolio as to whether you should make or continue to hold a particular investment or as to which types of investments may be better suited for you. We do monitor these investments at least once a year and each investment will be reporting directly to you with updates and financials. We will not be providing sell recommendations but are happy to help answer any questions that may arise.

Ask us:

- Given my financial situation, should I use your brokerage services to invest in private impact investments through CommonGood Securities? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

We will not charge you a separate transaction fee (sometimes called a “commission”) when we facilitate an investment for you into an investment through CGS. We typically get paid from the investment manager of the fund when you invest. Those fees are disclosed in the investment prospectus. In a few cases, we do get a placement fee which is paid by the fund and is disclosed in the investment prospectus. Also, in some funds we are a general partner and receive a proportion of the associated revenues from fees which are disclosed in the offering documents.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Ask Us:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations, we provide you. Here are some examples to help you understand what this means:

We only represent a handful of impact investments as there are more options available. When you invest through CommonGood we get compensated and, in some funds, we are also a general partner which generates additional ongoing revenues from fees. During the time you maintain the account at CGS, if there are no communications from us, you should not consider the lack of communication an implicit hold recommendation.

Ask Us:

- How might your conflicts of interest affect me, and how will you address them?

How else does your firm make money and what conflicts of interest do you have?

CGS, as a wholesaling broker-dealer, does not receive compensation from you when you make a purchase of an offered investment product through an accommodation account. However, *we receive compensation from the manager, general partner, and or from the offered investment products* when you make a purchase of an investment product through an accommodation account. Please see the prospectus or PPM for the fees paid to the manager or general partner of the offered investment product. The fees paid to the manager or general partner, and to a lesser degree CGS, will likely allow for additional compensation when the value of the shares of the investment product are increased and when certain performance targets are met.

How do your financial professionals make money?

Our financial professionals earn a salary and also receive commissions based on capital invested. The receipt of commissions influences the advice or recommendations that our financial professionals make, but they must make recommendations and provide advice that is in your best interest.

Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple tool to research you and your financial professionals.

Ask Us:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

For additional or up-to-date information, visit our website www.commongoodcap.com, or contact our Chief Compliance Officer, Jeff Shafer at (407) 476-5453 or Jeff@commongoodcap.com

Ask Us:

- Who is my primary contact person? Is he or she a representative of an investment advisor or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?